

Sustainable Investment Policy

1. Introduction

The University of Lucknow recognizes the importance of incorporating environmental, social, and governance (ESG) factors into its investment decisions. This Sustainable Investment Policy aims to guide the university in aligning its investments with sustainability principles, promoting responsible and ethical investment practices while seeking to achieve attractive financial returns.

2. Objectives

The key objectives of the Sustainable Investment Policy are as follows:

- a. ESG Integration: To integrate environmental, social, and governance factors into investment analysis and decision-making processes.
- b. Positive Impact: To seek investment opportunities that contribute to sustainable development, environmental protection, and social welfare.
- c. Responsible Investment: To avoid investments in industries or companies engaged in activities that have significant negative environmental or social impacts.
- d. Long-Term Perspective: To adopt a long-term investment horizon that considers the sustainability of investments over time.

3. Policy Guidelines

- a. ESG Criteria and Due Diligence: The University of Lucknow will establish ESG criteria and conduct thorough due diligence on potential investment opportunities. This will include assessing companies' environmental performance, social impact, governance practices, and ethical conduct.
- b. Exclusionary Screens: The university will implement exclusionary screens to avoid investments in industries that are harmful to the environment, such as fossil fuels, tobacco, weapons, and companies with poor human rights records.
- c. Positive Screening: The university will actively seek out investment opportunities that align with sustainable practices, such as renewable energy, clean technology, healthcare, and socially responsible businesses.
- d. Engagement and Advocacy: The university will engage with companies in its investment portfolio to encourage improved ESG practices and disclosure. It may also participate in collaborative initiatives or shareholder advocacy efforts to promote sustainability and responsible corporate behavior.
- e. Diversification and Risk Management: The investment strategy will focus on diversification to manage risk effectively while still aligning with the university's sustainability goals.
- f. Performance Evaluation: The university will regularly evaluate the financial and sustainability performance of its investment portfolio, making adjustments as needed to maintain the desired balance between returns and responsible investment.

4. Reporting and Transparency

- a. Public Disclosure: The University of Lucknow will publicly disclose its Sustainable Investment Policy, providing transparency to stakeholders and the broader community.
- b. Annual Reporting: The university will publish regular reports on the performance and composition of its investment portfolio, including ESG considerations and impact assessments.

5. Compliance and Review

- a. Compliance: The university will ensure compliance with all relevant laws, regulations, and ethical standards related to sustainable investing.
- b. Periodic Review: The Sustainable Investment Policy will undergo periodic review to ensure it remains up-to-date with evolving best practices and reflects the university's commitment to sustainability.

6. Conclusion

Through its Sustainable Investment Policy, the University of Lucknow aims to demonstrate its commitment to responsible and ethical investing, recognizing that sustainability considerations are crucial for achieving long-term financial success while contributing to a more sustainable and equitable world. By integrating ESG principles into its investment decisions, the university seeks to be a positive force for change and a leader in sustainable finance within the academic community.